

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

1C872 U.S. PRO
09/942552
08/30/01

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| In re application of: | : Group Art Unit: |
| Debbie Ann Godwin, <i>et al</i> | |
| Serial No.: | : Intellectual Property Law Department |
| Filed: | : International Business Machines Corp. |
| Title: "Host-based Systematic Attack Detection Tool" | : 11400 Burnet Road : Internal Zip 4054 : Austin, Texas 78758 |

Date: 8-24-01**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,



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In Place of FORM PTO-1449 (Modified)

**LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION DISCLOSURE
STATEMENT**

Serial No. _____
 Applicant: Debbie Ann Godwin, et al
 Filing Date: _____
 Group: _____
 Atty. Docket No. AUS920010597US1

| Reference Designation | | <u>U. S. PATENT DOCUMENTS</u> | | | | Filing Date if | |
|-----------------------|--------------|-------------------------------|-------------|------|-------|-------------------|-------------|
| Examiner | Document | Number | Date | Name | Class | Subclass | Appropriate |
| | AA 5,892,903 | 04/06/99 | Klaus | | 395 | 187.01 | |
| | AB 6,088,804 | 07/12/00 | Hill, et al | | 713 | 201 | |
| | AC 5,850,516 | 12/15/98 | Schneier | | 395 | 186 | |
| | AD 6,006,328 | 12/21/99 | Drake | | 713 | 200 | |
| | AE | | | | | | |

FOREIGN PATENT DOCUMENTS

| Examiner | Document | Number | Date | Country | Class | Subclass | Yes | No |
|----------|----------|--------|------|---------|-------|----------|-----|----|
| | AF | | | | | | | |
| | AG | | | | | | | |
| | AH | | | | | | | |

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner
Initial

AI
AJ
AK

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.